

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re Patent Application of:  
Edward R. Howorka et al.

Application No.: 10/781,703

Confirmation No.: 4196

Filed: February 20, 2004

Art Unit: 3693

For: VOCALISATION OF TRADING DATA IN  
TRADING SYSTEMS

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Examiner: Jessica Lemieux

**SUPPLEMENTAL PRELIMINARY REMARKS AND STATEMENT OF SUBSTANCE OF  
INTERVIEW**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INTRODUCTORY COMMENTS**

After entry of the Amendment filed June 21, 2010, and prior to issuance of the next Office Action, please consider the following:

**A Listing of the Claims** begins on page 3 of this paper.

**Remarks/Arguments** begin on page 10 of this paper.

**FEE CALCULATION**

Any additional fee required has been calculated as follows:

	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	Additional Fee
Total	37	- 37 =		X .00	\$ .00
Independent	5	- 5** =		X .00	
First presentation of Multiple Dependent Claim(s) (if applicable)					
Petition for Extension of Time [One Month]:					\$130.00
TOTAL					\$130.00

\*not less than 20

\*\* not less than 3

In the event a fee is required or if any additional fee during the prosecution of this application is not paid, the Patent Office is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 50-2215.

**CONTINGENT EXTENSION REQUEST**

If this communication is filed after the shortened statutory time period had elapsed and no separate Petition is enclosed, the Commissioner of Patents and Trademarks is petitioned, under 37 CFR 1.136(a), to extend the time for filing a response to the outstanding Office Action by the number of months which will avoid abandonment under 37 CFR 1.135. The fee under 37 CFR 1.17 should be charged to our Deposit Account No. 50-2215.